

Form PTO 1399
(REV 1-98)U.S. Department of Commerce Patent
and Trademark OfficeATTORNEY DOCKET NO.
P471.312-0002TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO. (If known, see 37
C.F.R. 1.57)

10/512038

INTERNATIONAL APPLICATION NO.

PCT/EP03/03003

INT'L FILING DATE

March 22, 2003

PRIORITY DATE

CLAIMED

April 22, 2002

TITLE OF INVENTION: **DEVICE FOR PROTECTING AGAINST UNAUTHORIZED USE OF SOFTWARE**APPLICANT(S) FOR DO/EO/US: **Bernd Peeters and Wulf Harder**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ Application Data Sheet.
11. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

12. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
14. ☒ A FIRST preliminary amendment.
- ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Other items or information:
 - a. ☐ Submission Under 37 C.F.R. 3.73(b)
 - b. ☐ Power of Attorney
 - c. ☒ A copy of the International Search Report

10/512038 U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.57)		INTERNATIONAL APPLICATION NO. PCT/EP03/02602		ATTORNEY'S DOCKET NUMBER P471.312-0001	
18. [X] The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5)):				CALCULATIONS	PTO USE ONLY
International preliminary examination and search fees not paid to USPTO and International Search Report not prepared by the EPO or JPO				\$ 1110.00	
International Search Report prepared by the EPO or JPO				\$ 950.00	
International search fee paid to the USPTO				\$ 790.00	
International preliminary examination fee paid to USPTO				\$ 750.00	
International preliminary examination fee paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)				\$ 100.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 950.00	
Surcharge of \$130 for furnishing the oath or declaration later than _ 20 _ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$ 0.00	
Claims	Number Filed	Number Extra	Rate		
Total claims	15- 20 =	0	X \$ 18.00	\$ 0.00	
Ind. Claims	1- 3 =	0	X \$ 88.00	\$ 0.00	
Multiple dependent claim(s) (if applicable)			+ \$300.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 950.00	
Reduction by 1/2 for filing by small entity, if applicable. (Note 37 C.F.R. 1.27).				\$ 475.00	
SUBTOTAL =				\$ 475.00	
Processing fee of \$130.00 for furnishing the English translation later the _ 20 _ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 475.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet. (37 C.F.R. 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 475.00	
				Amount to be refunded:	\$
				Charged:	\$
a. <u>X</u> A check in the amount of <u>\$475.00</u> to cover the above fees is enclosed. b. <u> </u> Please charge my Deposit Account No. 11-0982 in the amount of <u> </u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <u>X</u> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0982. A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					

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Use Express Mail only on initial filing of national stage in the U.S. (371) or filing Missing Parts

Express Mail No.: EV 485709540 US

Date of Deposit: October 20, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>First Named Inventor : Bernd Peeters</p> <p>Appln. No. :</p> <p>Filed : Herewith</p> <p>Title : DEVICE FOR PROTECTING AGAINST UNAUTHORIZED USE OF SOFTWARE</p> <p>Docket No. : P471.312-0001</p>	<p>Group Art Unit:</p> <p>Examiner:</p>
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EXPRESS MAIL COVER SHEET

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SENT VIA EXPRESS MAIL
October 20, 2004
Express Mail No.: EV 485709540 US

Sir:

The following papers are being transmitted via **EXPRESS MAIL** to the U.S. Patent and Trademark Office on the date shown below:


1. Express Mail Cover Sheet;
2. Check in the amount of \$475.00;
3. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371;
4. Application Data Sheet;
5. Translation of International Application into English (9 pages);
6. Drawings (1 sheet);
7. Preliminary Amendment;
8. Information Disclosure Statement;
9. Four (4) References;
10. Copy of Front Page of PCT Application As Published;
11. Copy of International Search Report; and

12. Copy of Notice Informing the Applicant of the Communication of the International Application to the Designated Offices.

Respectfully submitted,

KINNEY & LANGE, P.A.

Date: 10/20/04

By 
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